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TO ACCOUNTING

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE PM 6: 18

In re Applicant:

Ilan LEVY et al

Serial No.:

10/009,817

Filed:

December 13, 2001

For:

Modification Of Polysaccharide

Containing Materials

9999999

Examiner: Leigh C. Maier

8302

Group Art Unit: 1623

Attorney

Docket: 01/22952

Mail Stop 16
Commissioner for Patents

P.O. Box 1450 Alexandria, VA 22313-1450

REQUEST FOR REFUND UNDER 37 CFR 1.26

Sir:

During the course of prosecuting the above-identified application, Applicant filed a Request for Continued Examination (RCE) on December 7, 2006.

Applicant, through error and mistake, erred in calculating the fees required when filing an RCE, and authorized excess filing fee payments based on the total number of claims then pending in the application, as well as the \$395.00 RCE fee, even though <u>no additional claims were introduced at the time of filing of the RCE's</u>.

These authorized charges were then debited from Applicant's Deposit Account 501407. It is requested that these excess charges, made by mistake, be refunded to Applicants Deposit Account 501407.

The details of the errors are as follows:

Applicant authorized (see attachment) the RCE filing charge of \$ 395, as well as

\$ 1,050 for 42 additional claims over 20 even though the above claims had been previously paid for and no new claims were introduced with the filing of the RCE. These charges were debited on December 8, 2006 from Applicants Deposit Account 501407, and are highlighted in the attached December 2006 Deposit Account Statement. The charges for the excess claims fees (Fee Code 2202) were not required and paid by mistake.

A refund of \$1,050 is deemed to be in order.

Applicant only became aware of the error in calculating RCE filing charges recently.

Applicant has not previously asked for a refund of these charges made in error.

Applicant has not been refunded the excess charges requested herein.

This request for refund is being made within two years of the charge against the Deposit Account.

This request for refund is clearly one that was paid by mistake, and in excess of that required and is contemplated by 37 CFR 1.26.

Favorable consideration of this Refund request is earnestly solicited.

Respectfully submitted,

Martin Moynihan,

Registration No. 40,338

Date: November 1, 2007



CONTINUED EXAMINATION (RC **TRANSMITTAL**

Subsection (b) of 35 U.S.C. § 132, effective on May 29, 2000, provides for continued examination of an utility or plant application filed on or after June 8, 1995. See The American Inventors Protection Act of 1999 (AIPA).

| 10/009,817 |
|-------------------|
| December 13, 2001 |
| Leigh C. Maier |
| llan LEVY et al. |
| 1623 |
| 01/22952 |
| |

This is a Request for Continued Examination (RCE) under 37 C.F.R. § 1.114 of the above-identified application.

NOTE: 37 C.F.R. § 1.114 is effective on May 29, 2000. If the above-identified application was filed prior to May 29, 2000, applicant may wish to consider filing a continued prosecution application (CPA) under 37 C.F.R. § 1.53 (d) (PTO/SB/29) instead of a RCE to be eligible for the patent term adjustment provisions of the AIPA. See Changes to Application Examination and Provisional Application Practice, Final Rule, 65

MUN 0 8 5001

| Fed. Reg. 50092 (Aug. 10 established RCE practice | | | | | | |
|--|--|--|--|--|--|---------------------------|
| | | under 37 (| C.F.R. § 1.11 | 4 | | |
| a. Previously | | | | ED 64440- | and and an | • |
| I. LI Consider | ' (Ne amendnent(s) | nent(s)/repl referred to abo | y under 37 C. ve will be entered) | F.K. § 1.116 p | reviously filed on | |
| ii. 🗆 Conside | r the argumo | ents in the | Appeal Brief | or Reply Brief | previously filed on | |
| b. 🛭 Enclosed | | | | | | |
| I. ⊠ Amendr ii. □ Affidavit | | on(c) | | | • | |
| iii. □ Allidaviti iii. □ Informat | ion Disclosu | re Stateme | ent (IDS) | | | |
| iv. □ Other | | | (0) | | | |
| v. 🗆 Other | | | | • | | |
| 2. Miscellane | | | | | | |
| | | | | | ested under 37 C.F.R. § | |
| b. ☐ Other_ | | NS. (Period of | suspension shall n | ot exceed 3 months | ; Fee under 37 C.F.R. § 1.17(i) | required) |
| | | | | | | |
| 3. Fees Th | a RCE fea under | 37 C.F.R. § 1.1 | 7(e) is required by | 37 C.F.R. § 1.114 | when the RCE is filed. | |
| | | | | | | |
| For: | Column | Column | | ENTITY | OTHER THAN A S | |
| | 1 No. Filed | 2 No. Extra | RATE | FEE | RATE | FEE |
| Request for | | | 1 | \$ 395 | | \$ 790 |
| Continued | | | | | | |
| Examination (RCE) Multiple Dependent | | | 0 x \$ 180 | \$0 | \$ 360 | \$ |
| Claims | | | 0 2 3 130 | " | \$500 | • |
| Total Claims | 62 - 20= | 42 | 42 x \$ 25 | \$ 1050 | \$50 | \$ |
| Independent | 2 - 3= | 0 | 0 x \$ 100 | \$0 | \$200 | \$ |
| Claims* | | | | | | |
| | | | TOTAL | <u>\$1,445.</u> | TOTAL | <u>\$</u> |
| M The Commission | ner is here! | by authori | zed to charg | e \$1,445 fili | ng fees to Deposit Ac | count No. 50- |
| E I de Commissio | | | | | as additional form to T | Nama a 24 A a a a a a a 4 |
| 1407. In the event | additional i | | | | | |
| 1407. In the event | additional t | verpayme | nt, please cr | edit Deposit | Account No. 50-1407. | |
| 1407. In the event No. 50-1407. In th | additional t ne event of o SIGN | verpayme ATURE OF A | ent, please cr APPLICANT, AT | edit Deposit . TORNEY, OR A | Account No. 50-1407. GENT REQUIRED | |
| 1407. In the event No. 50-1407. In the Name N | additional t | verpayme ATURE OF A | ent, please cr APPLICANT, AT Reg | edit Deposit TORNEY, OR AC distration No. | Account No. 50-1407. GENT REQUIRED 40,338 | |
| 1407. In the event No. 50-1407. In th Name (Print/Type) | additional t ne event of o SIGN | verpayme ATURE OF A | ent, please cr APPLICANT, AT Reg (Att | redit Deposit TORNEY, OR AC gistration No. omey/Agent | Account No. 50-1407. GENT REQUIRED 40,338 | |
| No. 50-1407. In the event No. 50-1407. In the Name (Print/Type) Signature | additional to the event of our sign of the | everpayment of Anture of A | ent, please cr APPLICANT, AT Reg | redit Deposit TORNEY, OR AC gistration No. omey/Agent | Account No. 50-1407. GENT REQUIRED 40,338 | |
| 1407. In the event No. 50-1407. In th Name (Print/Type) | additional time event of or SIGN fartin D. M | loynihan | Regulation Date | redit Deposit TORNEY, OR ACTION NO. Omey/Agent Dece | Account No. 50-1407. SENT REQUIRED 40,338 ember 6, 2006 | |





Deposit Account Statement

Requested Statement Month:

December 2006

Deposit Account Number: Name:

501407

Attention:

G E EHRLICH (1995) LTD.

Address:

A J CASTORINA

2001 JEFFERSON DAVIS HIGHWAY

City:

ARLINGTON VA

State: Zip:

22202

Country:

UNITED STATES

| DATE SEQ POSTING | ATTORNEY DOCKET NBR | FEE CODE | AMT | BAL |
|--------------------|---------------------------|-------------------|----------|-------------|
| 12/01 150 11597829 | 32959 . | 2631 | \$150.00 | \$85,100.55 |
| 12/01 151 11597829 | 32959 | 2633 | \$100.00 | \$85,000.55 |
| 12/01 152 11597829 | 32959 | 2615 | \$925.00 | \$84,075.55 |
| 12/01 153 11597829 | 32959 | 2632 | \$250.00 | \$83,825.55 |
| 12/01 199 11597820 | 32900 | 2631 | \$150.00 | \$83,675.55 |
| 12/01 200 11597820 | 32900 | 2633 | \$100.00 | \$83,575.55 |
| 12/01 201 11597820 | 32900 | 2632 | \$250.00 | \$83,325.55 |
| 12/01 202 11597820 | 32900 | 2615 | \$650.00 | \$82,675.55 |
| 12/01 203 11597820 | 32900 | 2614 | \$100.00 | \$82,575.55 |
| 12/01 99 11606242 | 32419 | 2011 | \$150.00 | \$82,425.55 |
| 12/01 100 11606242 | 32419 | 2111 | \$250.00 | \$82,175.55 |
| 12/01 101 11606242 | 32419 | 2311 ⁻ | \$100.00 | \$82,075.55 |
| 12/01 103 11606242 | 32419 | 2202 | \$725.00 | \$81,350.55 |
| 12/01 102 11606242 | 32419 | 2081 | \$125.00 | \$81,225.55 |
| 12/01 240 11606154 | 32423 | 2011 | \$150.00 | \$81,075.55 |
| 12/01 241 11606154 | 32423 | 2111 | \$250.00 | \$80,825.55 |
| 12/01 242 11606154 | 32423 | 2311 | \$100.00 | \$80,725.55 |
| 12/01 243 11606154 | 32423 | 2201 | \$100.00 | \$80,625.55 |
| 12/01 244 11606154 | 32423 | 2202 | \$600.00 | \$80,025.55 |
| 12/01 124 11503119 | 31899 | 1251 | \$120.00 | \$79,905.55 |
| 12/01 125 11003939 | 28984 | 2251 | \$60.00 | \$79,845.55 |
| 12/01 130 11580954 | 32735 | 2051 | \$65.00 | \$79,780.55 |
| 12/01 93 60861746 | 33051 | 2005 | \$100.00 | \$79,680.55 |
| 12/04 28 60762519 | 31433(32775) | 8007 | \$20.00 | \$79,660.55 |
| 12/04 19 11580119 | 32642 | 2051 | \$65.00 | \$79,595.55 |
| 12/04 6 09922846 | 01/22329 | 2253 | \$510.00 | \$79,085.55 |
| 12/04 9 09922846 | | 2201 | \$400.00 | \$78,685.55 |
| 12/04 7 09922846 | | 2801 | \$395.00 | \$78,290.55 |
| 12/04 8 09922846 | | 2202 | \$650.00 | \$77,640.55 |
| 12/04 171 11607075 | 33094 | 2011 | \$150.00 | \$77,490.55 |

| 12/07 42 | 6574500 | | 2551 | \$450.00 | \$65,100.55 |
|-----------|----------|---------------|--------|----------------------|----------------------------|
| 12/07 3 | 11214588 | 30847 | 1814 | \$130.00 | \$64,970.55 |
| 12/07 239 | 29253822 | 30822 (32642) | 8007 | \$40.00 | \$64,930.55 |
| 12/07 340 | 60750835 | 31096(33130) | 8007 | \$20.00 | \$64,910.55 |
| 12/07 344 | 60759954 | 31207(33128) | 8007 | \$20.00 | \$64,890.55 |
| 12/08 148 | 11139524 | 29634 | 2501 | \$700.00 | \$64,190.55 |
| 12/08 149 | 11139524 | 29634 | 1504 | \$300.00 | \$63,890.55 |
| 12/08 88 | 11374969 | 31530 | 2251 | \$60.00 | \$63,830.55 |
| 12/08 90 | 11374969 | 31530 | 1814 | \$130.00 | \$63,700.55 |
| 12/08 91 | 11374969 | 31530 | 1814 | \$130.00 | \$63,570.55 |
| 12/08 93 | 11374969 | 31530 | 1814 | \$130.00 | \$63,440.55 |
| 12/08 92 | 11374989 | 31530 | 1814 | \$130.00 | \$63,310.55 |
| 12/08 94 | 11374969 | 31530 | 1814 | \$130.00 | \$63,180.55 |
| 12/08 95 | 11411769 | 31783 | 1464 | \$130.00 | \$63,050.55 |
| 12/08 93 | 11266441 | 30730 | 2501 | \$700.00 | \$62,350.55 |
| | | 30730 | 1504 | \$300.00 | \$62,050.55 |
| 12/08 242 | 11266441 | | 2801 | \$395.00 | \$61,655.55 |
| 12/08 233 | 10009817 | 01/22952 | 2202 | \$1,050.00 | \$60,605.55 |
| 12/08 234 | 10009817 | 01/22952 | 2631 | -\$150.00 | \$60,755.55 |
| 12/08 182 | 10574462 | 31363 | 2632 | -\$150.00 | \$61,005.55 |
| 12/08 183 | 10574462 | 31363 | | | \$61,005.55 |
| 12/08 185 | 10574462 | 31363 | 2615 | -\$75.00 \$400.00 | \$61,180.55 |
| 12/08 184 | 10574462 | 31363 | 2633 | -\$100.00 | \$60,880.55 |
| 12/08 186 | 10574462 | 31363 | 1631 - | \$300.00 | |
| 12/08 187 | 10574462 | 31363 | 1633 | \$200.00 | \$60,680.55 |
| 12/08 189 | 10574462 | 31363 | 1615 | \$150.00 | \$60,530.55 |
| 12/08 188 | 10574462 | 31363 | 1632 | \$500.00 | \$60,030.55 |
| 12/08 92 | 11634910 | 32768 | 2011 | \$150.00 | \$59,880.55 |
| 12/08 95 | 11634910 | 32768 | 2202 | \$800.00 | \$59,080.55 |
| 12/08 96 | 11634910 | 32768 | 2201 | \$200.00 | \$58,880.55 |
| 12/08 97 | 11634910 | 32768 | 2081 | \$125.00 | \$58,755.55 \$58,755.55 |
| 12/08 94 | 11634910 | 32768 | 2311 | \$100.00 | \$58,655.55 |
| 12/08 93 | 11634910 | 32768 | 2111 | \$250.00 | \$58,405.55 |
| 12/08 141 | | 32943 | 2005 | \$100.00 | \$58,305.55 |
| 12/11 9 | 6613344 | | 2551 | \$450.00 | \$57,855.55 |
| 12/11 10 | 6575973 | | 2551 | \$450.00 | \$57,405.55 |
| 12/11 28 | 11374969 | 31530 | 1814 | -\$130.00 | \$57,535.55 |
| 12/11 29 | 11374969 | 31530 | 1814 | -\$130.00 | \$57,665.55 |
| 12/11 30 | 11374969 | 31530 | 1814 | -\$130.00 | \$57,795.55 |
| 12/11 31 | 11374969 | 31530 | 1814 | -\$130.00 | \$57,925.55 |
| 12/11 37 | 11374969 | 31530 | 2814 | \$65.00 | \$57,860.55 |
| 12/11 38 | 11374969 | 31530 | 2814 | \$65.00 | \$57,795.55 |
| 12/11 39 | 11374969 | 31530 | 2814 | \$65.00 | \$57,730.55 |
| 12/11 40 | 11374969 | 31530 | 2814 | \$65.00 | \$57,665.55 |
| 12/11 41 | 11374969 | 31530 | 2814 | \$65.00 | \$57,600.55 |
| 12/11 140 | | | 2552 | \$1,150.00 | \$56,450.55 |
| 12/12 216 | | 27799 | 2253 | \$510.00 | \$55,940.55 |
| 12/12 384 | | 29795 | 8021 | \$40.00 | \$55,900.55 |
| 12/12 385 | | | 8021 | \$40.00 | \$55,860.55 |
| 12/12 10 | 11503119 | | 1051 | \$130.00 | \$55,730.55 |
| 12/12 54 | 60782110 | - | 8007 | \$20.00 | \$55,710.55 |
| 12/12 56 | 60850253 | 32778(32869) | 8007 | \$20.00 | \$55,690.55 |

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Modification Of Polysaccharide

Containing Materials

Attorney

Docket: 01/22952

Group Art Unit: 1623

Examiner:

Leigh C. Maier

Mail Stop AF Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313

RESPONSE TO FINAL

Sir:

This is in response to the United States Patent and Trademark Final Office Action mailed September 21, 2006, which response is being made on or before December 21, 2006 and for which no extension of time fee is due. A request for continued examination (RCE) is enclosed herewith.

Applicant submits this response for entry into the record, in which:

Amendments to claims begin on page 2

Remarks begin on page 11

12/08/2006 HMARZII 08000172 501407

10009817

Please amend the above-identified application as follows: FC:2202

1050.00 DA